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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/087,473

Filing Date

March 1, 2002

First Named Inventor

Melissa K. Carpenter, et al.

Art Unit

1632

Examiner Name

Thaian N. Ton

Attorney Docket Number

090/003C

## ENCLOSURES (Check all that apply)

Fee Transmittal Form  
 Fee Attached  
 Amendment/Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Reply to Missing Parts/ Incomplete Application  
 Reply to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation  
 Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_  
 Landscape Table on CD

After Allowance Communication to TC  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please identify below):

## Remarks

Request for Corrected Filing Receipt (2 pages) with copy of Filing Receipt marked to show changes made (2 pages)

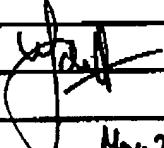
\*\*\*last page marker (1 page)\*\*\*

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Geron Corporation

Signature



Printed name

J. Michael Schiff

Date

May 26/05

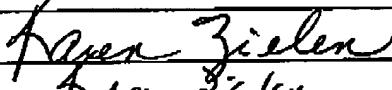
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40,253

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Typed or printed name

Karen Zielen

Date

May 27, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## CERTIFICATE OF DELIVERY VIA FACSIMILE

I hereby certify that this paper is being delivered via Facsimile to the U.S. Patent Office in accordance with 37 CFR § 1.6(d), addressed to the Commissioner for Patents, Alexandria, VA 22313-1450, on the date indicated:

Haren Zisler  
Name

May 27, 2005  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Melissa K. Carpenter, *et al.*

Examiner: Thaian N. Ton

Filing Date: March 1, 2002

Art Unit: 1632

Serial No.: 10/087,473

Docket: 090/003C

For: DIRECT DIFFERENTIATION OF HUMAN  
PLURIPOTENT STEM CELLS AND  
CHARACTERIZATION OF  
DIFFERENTIATED CELLS

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is a copy of the Filing Receipt marked to show the corrections that are needed.

The corrections are as follows:

Please correct the Applicant information to read: Melissa K. Carpenter, London,  
Ontario, Canada; R. Scott Thies, Pleasanton, California.

Please correct the Priority Data to read: This application is a continuation of pending  
U.S. utility application 09/888,309, filed June 21, 2001. This application claims priority to the  
following U.S. provisional patent applications: USSN 60/213,739, filed June 22, 2000; USSN

**BEST AVAILABLE COPY**

PATENT  
10/087,473  
Docket: 090/003C

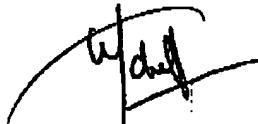
60/216,387, filed July 7, 2000; USSN 60/220,064, filed July 21, 2000; and USSN 60/213,740, filed June 22, 2000. This application is also a continuation-in-part of PCT/US01/01030, filed January 10, 2001 (designating the U.S. and published as WO 01/51616 on July 19, 2001).

This request for Applicant change is made pursuant to the Request to Change Inventorship Under 37 CFR § 1.48(b) filed with the Patent Office on May 3, 2005. The Priority Data change is made pursuant to the Supplemental Amendment filed with the Patent Office on May 4, 2005.

No fee is believed due with respect to the filing of this paper. However, should the Patent Office determine that a fee is required for consideration of this paper, applicants hereby petition for such relief, and authorizes the Commissioner to charge any fees due to Deposit Account No. 07-1139, referencing the docket number indicated above.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,



J. Michael Schiff  
Registration No. 40,253

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May 24, 2005

GERON IP GROUP



## UNITED STATES PATENT AND TRADEMARK OFFICE

APR 30 2002

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 DOCKETTE BUREAU WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/087,473	03/01/2002	1632	88	090/003C	4	22	3

22869  
 GERON CORPORATION  
 230 CONSTITUTION DRIVE  
 MENLO PARK, CA 94025

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APR 30 2002

GERON CORPORATION  
 PATENT DEPARTMENT

CONFIRMATION NO. 1663

FILING RECEIPT



\*OC000000007949554\*

Date Mailed: 04/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Melissa K. Carpenter, Castro Valley, CA; *London, Ontario, Canada*  
 Walter D. Funk, Hayward, CA;  
 R. Scott Thies, Pleasanton, CA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/888,309 06/21/2001  
 WHICH CLAIMS BENEFIT OF 60/213,739 06/22/2000  
 AND CLAIMS BENEFIT OF 60/216,387 07/07/2000  
 AND CLAIMS BENEFIT OF 60/220,064 07/21/2000  
 AND CLAIMS BENEFIT OF 60/213,740 06/22/2000;

*This application is also a CDP of PCT/US01/01030, filed January 10, 2001 (designating Foreign Applications the U.S. and published as WO 01/51616 on July 19, 2001)*

If Required, Foreign Filing License Granted 04/24/2002

Projected Publication Date: 08/01/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

Direct differentiation of human pluripotent stem cells and characterization of differentiated cells

**Preliminary Class**

435

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**geron**

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*Facsimile Transmittal Sheet*

**LAST PAGE**

**USSN 10/087,473**

**Attorney Docket 090/003C**